

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Prais et al. Examiner: Lam, Ann Y.
Serial No.: 09/809,469 Group Art Unit: 3763
Confirmation No.: 5505 Docket: P-5220 (102-523
DIV/CON/CIP)
Filed: March 15, 2001
For: SYRINGE AND NEEDLE
SHIELD ASSEMBLY AND
METHOD OF
STERILIZING SUCH
ASSEMBLY

Commissioner for Patents
Washington, DC 20231

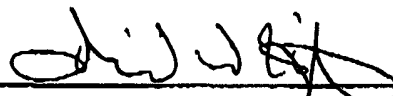
ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34)

Please recognize as Associate Attorneys in this case the practitioners at Customer
Number 32752.

Please address all future correspondence to:

Ludomir A. Budzyn, Esq.
Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, New York 11791
Telephone: (973) 331-1700

3/13/03
Dated


SIGNATURE OF PRINCIPAL ATTORNEY OF RECORD
David W. Highet
Reg. No. 30,265

Docket No.
P-5220

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYRINGE AND NEEDLE SHIELD ASSEMBLY AND METHOD OF STERILIZING SUCH ASSEMBLY

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on March 15, 2001 as United States Application No. or PCT International Application Number 09/809,469

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/454,993

December 6, 1999

Pending

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

09/040,067

March 17, 1998

Patented

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

08/670,255

June 20, 1996

Patented

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

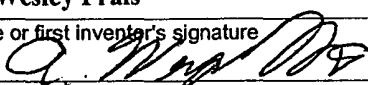
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

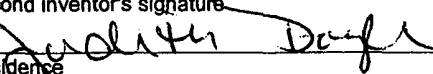
Alan W. Fiedler, Eg. No. 33,690
 David W. Highet, Reg. No. 30,265
 Eric M. Lee, Reg. No. 30,471
 Richard J. Rodrick, Reg. No. 26,985
 Nanette S. Thomas, Reg. No. 33,310

Allen W. Wark, Reg. No. 30,503
 Bruce S. Weintraub, Reg. No. 34,277
 Robert M. Hallenbeck, Reg. No. 31,364
 Kathleen DalBon, Reg. No. 29,829

Send Correspondence to: **Richard J. Rodrick,**
Becton, Dickinson and Company
1 Becton Drive
Franklin Lakes, New Jersey 07417-1880

Direct Telephone Calls to: *(name and telephone number)*
Allen W. Wark (201) 847-7115

Full name of sole or first inventor A. Wesley Prais	
Sole or first inventor's signature 	Date 6/5/01
Residence 34 Hillcrest Drive, Hewitt, New Jersey 07421	
Citizenship U.S.A.	
Post Office Address 34 Hillcrest Drive, Hewitt, New Jersey 07421	

Full name of second inventor, if any Judith L. Doyle	
Second inventor's signature 	Date 6/5/01
Residence 7 Lake Road, Upper Saddle River, New Jersey 07458	
Citizenship U.S.A.	
Post Office Address 7 Lake Road, Upper Saddle River, New Jersey 07458	

Full name of third inventor, if any Steven L. Koziol	
Third inventor's signature <i>Steven L. Koziol</i>	Date <i>4/10/01</i>
Residence 2774 31st Avenue, Columbus, Nebraska 68601	
Citizenship U.S.A.	
Post Office Address 2774 31st Avenue, Columbus, Nebraska 68601	

Full name of fourth inventor, if any Frederic Perot	
Fourth inventor's signature	Date
Residence 36, quai de France, 38000 Grenoble, France	
Citizenship France	
Post Office Address 36, quai de France, 38000 Grenoble, France	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

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Prior Foreign Application(s)			Priority Not Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
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(Application Serial No.)

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Alan W. Fiedler, Reg. No. 33,690
 David W. Highet, Reg. No. 30,265
 Eric M. Lee, Reg. No. 30,471
 Richard J. Rodrick, Reg. No. 26,985
 Nanette S. Thomas, Reg. No. 33,310

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 Bruce S. Weintraub, Reg. No. 34,277
 Robert M. Hallenbeck, Reg. No. 31,364
 Kathleen DalBon, Reg. No. 29,829

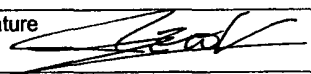
Send Correspondence to: **Richard J. Rodrick,**
Becton, Dickinson and Company
1 Becton Drive
Franklin Lakes, New Jersey 07417-1880

Direct Telephone Calls to: *(name and telephone number)*
Allen W. Wark (201) 847-7115

Full name of sole or first inventor A. Wesley Prais	
Sole or first inventor's signature	Date
Residence 34 Hillcrest Drive, Hewitt, New Jersey 07421	
Citizenship U.S.A.	
Post Office Address 34 Hillcrest Drive, Hewitt, New Jersey 07421	

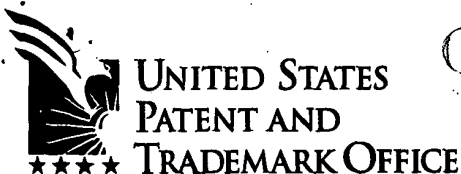
Full name of second inventor, if any Judith L. Doyle	
Second inventor's signature	Date
Residence 7 Lake Road, Upper Saddle River, New Jersey 07458	
Citizenship U.S.A.	
Post Office Address 7 Lake Road, Upper Saddle River, New Jersey 07458	

Full name of third inventor, if any Steven L. K ziol	
Third inventor's signature	Date
Residence 2774 31st Avenue, Columbus, Nebraska 68601	
Citizenship U.S.A.	
Post Office Address 2774 31st Avenue, Columbus, Nebraska 68601	

Full name of fourth inventor, if any Frederic Perot	
Fourth inventor's signature 	Date 06th June 2001
Residence 36, quai de France, 38000 Grenoble, France	
Citizenship France	
Post Office Address 36, quai de France, 38000 Grenoble, France	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	



UNITED STATES

PATENT AND

TRADEMARK OFFICE

JANUARY 27, 2003

BECTON, DICKINSON AND COMPANY
DAVID HIGHET
1 BECTON DRIVE
FRANKLIN LAKES, NJ 07417

PTAS

DMF
P-5220

Chief Information Officer
Washington, DC 20231
www.uspto.gov



102252991A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/15/2002

REEL/FRAME: 013381/0533
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PRAIS, A. WESLEY

DOC DATE: 06/05/2001

ASSIGNOR:

DOYLE, JUDITH L.

DOC DATE: 06/05/2001

ASSIGNOR:

KOZIOL, STEVEN L.

DOC DATE: 06/06/2001

ASSIGNOR:

PEROT, FREDERIC

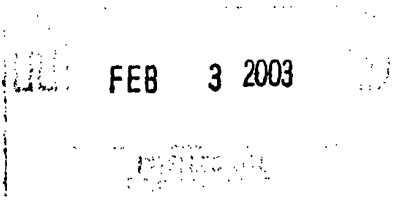
DOC DATE: 06/06/2001

ASSIGNEE:

BECTON, DICKINSON AND COMPANY
1 BECTON DRIVE
FRANKLIN LAKES, NEW JERSEY 07417

SERIAL NUMBER: 09809469
PATENT NUMBER:

FILING DATE: 03/15/2001
ISSUE DATE:



013381/0533 PAGE 2

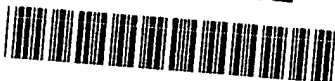
SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-18-2002

Attorney Docket No.: P-5220

Form PTO-1595
(Rev. 03/01)

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OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

A. Wesley Prais
Judith L. Doyle
Steven L. Koziol
Frederic Perot

10/15/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

June 5 and June 6, 2001

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Becton, Dickinson and Company

Internal Address: _____

Street Address: 1 Becton Drive

City: Franklin Lakes State: NJ Zip: 07417

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/809,469

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David W. Highet, Esq.

Internal Address: MC 089

Becton, Dickinson and Company

Street Address: 1 Becton Drive

City: Franklin Lakes State: NJ Zip: 07417

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1666

DO NOT USE THIS SPACE

9. Signature.

David M. Fortunato, Esq., Reg. No. 42,548
Name of Person SigningDavid Fortunato
Signature10/7/02
DateTotal number of pages including cover sheet, attachments, and documents: ☒ 7

10/17/2002 TDI/21

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01 FC:8021

40. CH

Documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231OFFICE OF PUBLIC RECORDS
2002 OCT 15 AM 11:45
FINANCE SECTION

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
P-5220

This is an Assignment of the following rights, title and interest: (check all that apply):

- ☒ *United States of America rights, title and interest in the invention*
☒ *Foreign rights, title and interest in the invention*
☐ *United States Patent Application Serial No.* 09/809,469

Date of Execution: _____ *Date of Filing:* 3/15/01

- ☐ *United States Provisional Patent Application Serial No.* _____
☐ *United States Patent No(s).* _____
☐ *International (PCT) Patent Application Serial No.* _____
☐ *Other (specify)* _____

Title of the Invention

SYRINGE AND NEEDLE SHIELD ASSEMBLY AND METHOD OF STERILIZING SUCH ASSEMBLY

Inventors (assignors)

<i>Name</i>	<i>Address</i>
A. Wesley Prais	34 Hillcrest Drive, Hewitt, New Jersey 07421, USA
Judith L. Doyle	7 Lake Road, Upper Saddle River, New Jersey 07458, USA
Steven L. Koziol	2774 31st Avenue, Columbus, Nebraska 68601
Frederic Perot	36, quai de France, 38000 Grenoble, France

Assignee

<i>Name</i>	<i>Address</i>
Becton, Dickinson and Company	1 Becton Drive, Franklin Lakes, New Jersey 07417-1880, USA

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
P-5220

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

<i>Name</i>	<i>Signature/Date</i>
A. Wesley Prais	
Judith L. Doyle	
Steven L. Koziol	
Frederic Perot	

Assignment of Rights, 1 and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
P-5220

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 5th day of June, in the year 2001
at Franklin Lakes, N.J.

A. Wesley Prais
(Signature of Inventor)

State of New Jersey

County of Bergen

Before me personally appeared A. Wesley Prais
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 5th day of June, in the year 2001

Kurt Burger
(Notary Public)

KURT BURGER
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JAN. 10, 2002

Executed this 5th day of June, in the year 2001
at Franklin Lakes, N.J.

Judith L. Doyle
(Signature of Inventor)

State of New Jersey

County of Bergen

Before me personally appeared Judith L. Doyle
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 5th day of June, in the year 2001

Kurt Burger
(Notary Public)

KURT BURGER
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JAN. 10, 2002

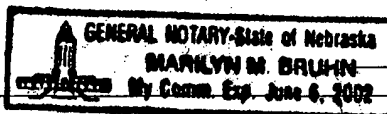
Executed this 6th day of June, in the year 2001
at

Steven L. Koziol
(Signature of Inventor)

State of Nebraska

County of

Before me personally appeared Steven L. Koziol
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 6th day of June, in the year 2001



Marilyn M. Bruhn
(Notary Public)

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
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☒ *Foreign rights, title and interest in the invention*

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☐ *United States Provisional Patent Application Serial No.* _____

☐ *United States Patent No(s).* _____

☐ *International (PCT) Patent Application Serial No.* _____

☐ *Other (specify)* _____

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Inventors (assignors)

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A. Wesley Prais	34 Hillcrest Drive, Hewitt, New Jersey 07421, USA
Judith L. Doyle	7 Lake Road, Upper Saddle River, New Jersey 07458, USA
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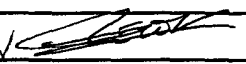
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And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
A. Wesley Prais	
Judith L. Doyle	
Steven L. Koziol	
Frederic Perot	 06 th June 2001

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at

(Signature of Inventor)

State of New Jersey

County of

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(Notary Public)

Executed this day of June , in the year 2001

at

(Signature of Inventor)

State of New Jersey

County of

Before me personally appeared Judith L. Doyle

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this day of June , in the year 2001

(Notary Public)

Executed this day of June , in the year 2001

at

(Signature of Inventor)

State of Nebraska

County of

Before me personally appeared Steven L. Koziol

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this day of June , in the year 2001

(Notary Public)